

# EXHIBIT K

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**From:** Dean, Andrew <DeanAn@SEC.GOV>  
**Sent:** Wednesday, March 9, 2022 11:04 AM  
**To:** Lustberg, Lawrence S  
**Cc:** Brodsky, Joshua; Zetlin-Jones, David; Valen, Thomas R.; Nawaz, Osman; Fitzpatrick, Brian  
**Subject:** RE: NY 10425  
**Attachments:** NY-10425 SEC Proffer Agmt. 3.14.2022 Hwang.pdf; Form 1662.pdf

Hi Larry, Tom – Nice connecting with you today. Attached please find a proffer agreement (we can all execute on Monday) and Form 1662.

Best,

Andrew

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**From:** Lustberg, Lawrence S <LLustberg@gibbonslaw.com>  
**Sent:** Tuesday, March 8, 2022 1:26 PM  
**To:** Dean, Andrew <DeanAn@SEC.GOV>  
**Cc:** Brodsky, Joshua <BrodskyJ@SEC.GOV>; Zetlin-Jones, David <zetlinjonesj@SEC.GOV>; Valen, Thomas R. <TValen@gibbonslaw.com>  
**Subject:** RE: NY 10425

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Would 10 am tomorrow work? We can do that – and if you want, I can circulate a call-in or zoom, if it would make your lives easier.

Thanks.

Larry

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**From:** Dean, Andrew <DeanAn@SEC.GOV>  
**Sent:** Tuesday, March 8, 2022 1:19 PM  
**To:** Lustberg, Lawrence S <LLustberg@gibbonslaw.com>

**Cc:** Brodsky, Joshua <BrodskyJ@SEC.GOV>; Zetlin-Jones, David <zetlinjonesj@SEC.GOV>

**Subject:** RE: NY 10425

Sounds good Larry, we will wait to hear from you. Thanks.

Andrew

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**From:** Lustberg, Lawrence S <[LLustberg@gibbonslaw.com](mailto:LLustberg@gibbonslaw.com)>

**Sent:** Tuesday, March 8, 2022 1:13 PM

**To:** Dean, Andrew <[DeanAn@SEC.GOV](mailto:DeanAn@SEC.GOV)>

**Cc:** Brodsky, Joshua <[BrodskyJ@SEC.GOV](mailto:BrodskyJ@SEC.GOV)>; Zetlin-Jones, David <[zetlinjonesj@SEC.GOV](mailto:zetlinjonesj@SEC.GOV)>

**Subject:** RE: NY 10425

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Hey Andrew – I am not surprised to be hearing from you, and look forward to seeing you on Monday, perhaps? Anyway, let me get with my partner, Tom Valen, and let you know a good time to talk later today or tomorrow. Will be in touch – thanks for reaching out.

Larry

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**From:** Dean, Andrew <[DeanAn@SEC.GOV](mailto:DeanAn@SEC.GOV)>

**Sent:** Tuesday, March 8, 2022 1:09 PM

**To:** Lustberg, Lawrence S <[LLustberg@gibbonslaw.com](mailto:LLustberg@gibbonslaw.com)>

**Cc:** Brodsky, Joshua <[BrodskyJ@SEC.GOV](mailto:BrodskyJ@SEC.GOV)>; Zetlin-Jones, David <[zetlinjonesj@SEC.GOV](mailto:zetlinjonesj@SEC.GOV)>

**Subject:** NY 10425

Hi Lawrence – By way of introduction, I am an attorney in the SEC's Enforcement Division in NY. Do you have a few minutes today or tomorrow to touch base on an ongoing SEC investigation?

Best,

Andrew

Andrew Dean

Assistant Regional Director

Division of Enforcement - Asset Management Unit

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